

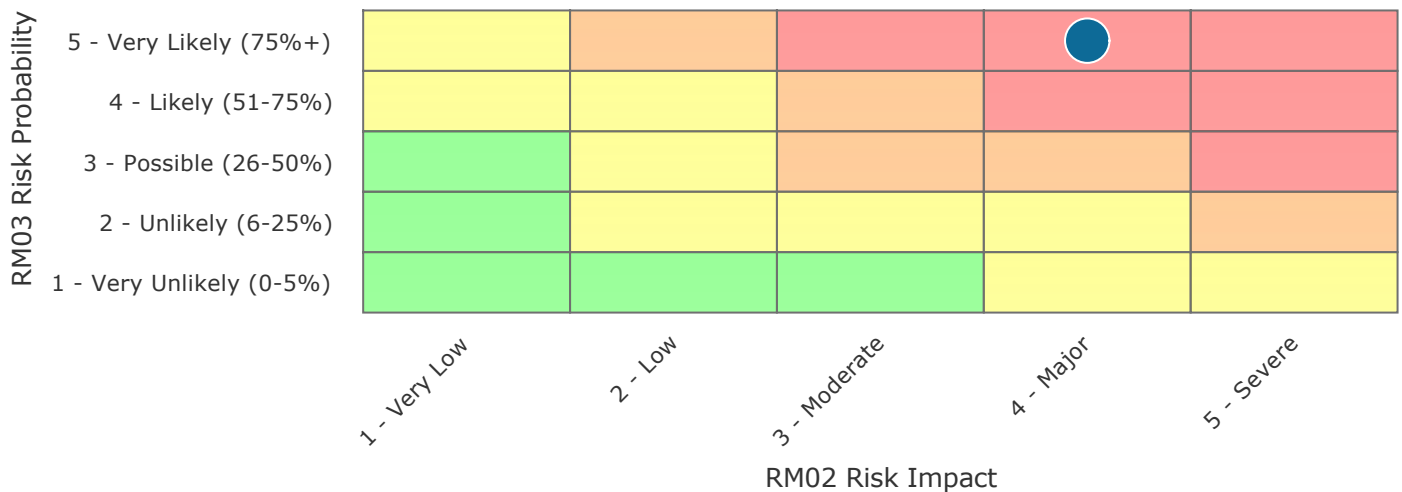
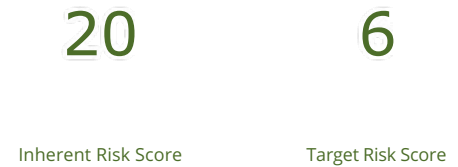


NCC Corporate Risk Register

2020/21 Quarter 1 Update

Ash Die Back Disease

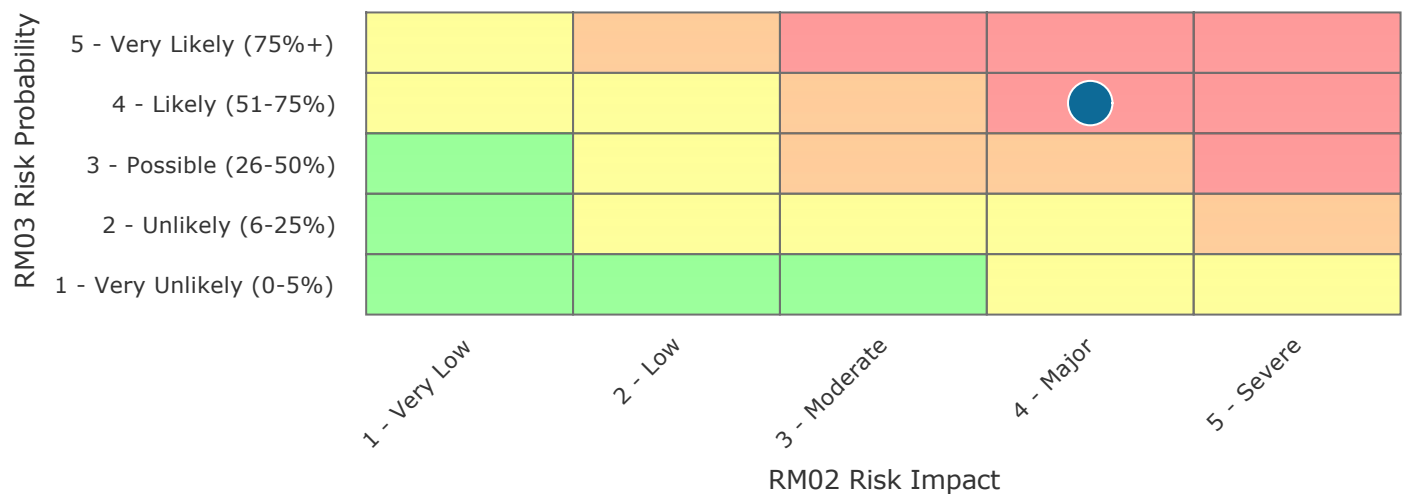
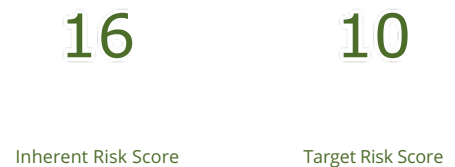
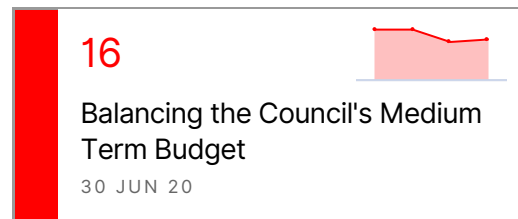
Short Description	Ash Die back disease will affect tree population in Newport. The disease has already been identified in Newport and could kill the majority of Ash trees in the authority. The impact of no action will be significant numbers of tree failures that could see an increase in the number of people harmed by trees and property claims.
Risk Owner	Joanne Gossage
Overseeing Officer	<ul style="list-style-type: none"> Head of Streetscene and City Services
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	<ul style="list-style-type: none"> Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 2. Economic Growth & Regeneration WBO 4. Cohesive & Sustainable Communities



Direction of Risk	
DoR	Comment
Ash Die Back Disease	<p>? Risk - Direction of Risk</p> <p>This is a new risk for the Council. In quarter 1 the risk score has been assessed as 20 due to the high financial costs and urgency to remove the trees before they fall and cause damage.</p>

Balancing the Council's Medium Term Budget

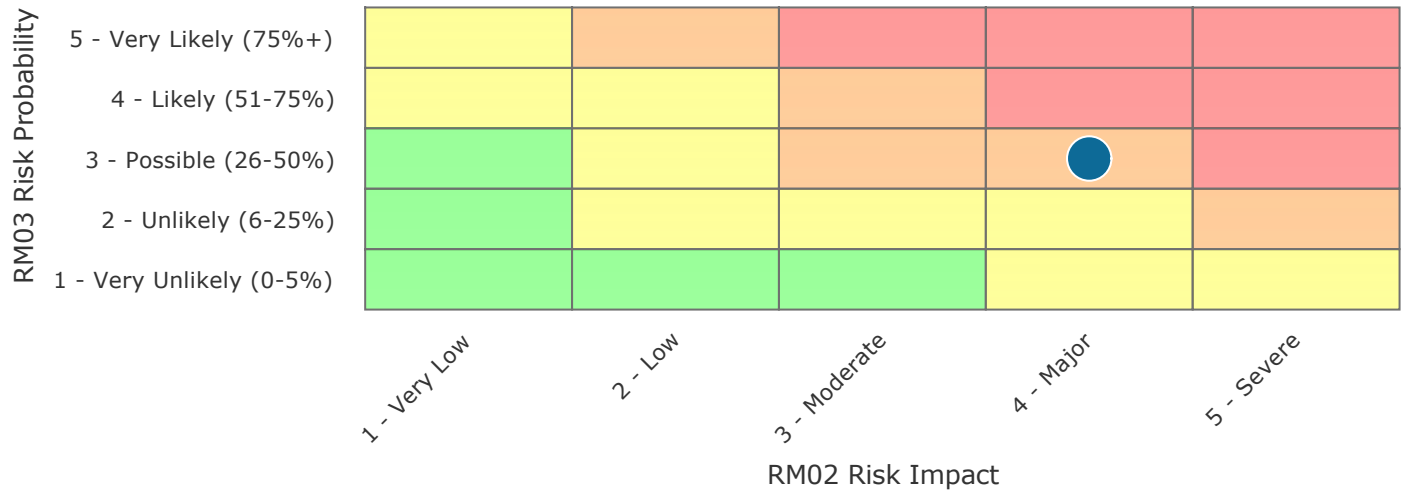
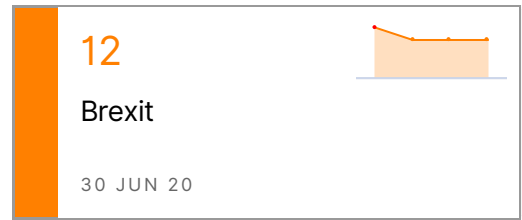
Short Description	To meet the Council's requirement of reducing the gap between Council spend and Budget allocation over the next 3-5 years
Risk Owner	Owen James
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of Finance
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People Theme : Modernised Council Theme : Thriving City Theme: Resilient Communities (Community) Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient WBO 4. Cohesive & Sustainable Communities



Direction of Risk	
DoR	Comment
Balancing the Council's Medium Term Budget	<p> Risk - Direction of Risk</p> <p>There remains a significant budget gap over the Medium Term Financial Plan with no medium term strategy / actions agreed at this point.</p>

Brexit

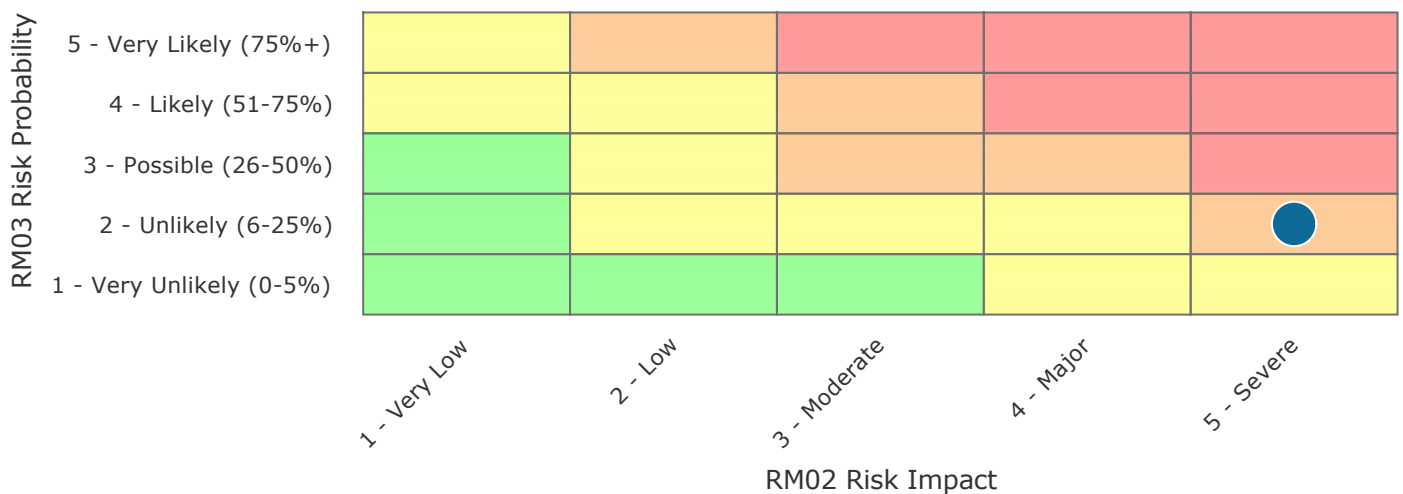
Short Description	The UK Government (UKG) and EU have now entered into the transition phase to negotiate a trade agreement by 31st December 2020. Should the UKG fail to secure a deal, the UK will fall back onto World Trade Organisation (WTO) arrangements which could impact on the cost and supply of goods / services and could impact on the economy and business arrangements. Also concerns around EU citizens living in the UK.
Risk Owner	Rhys Cornwall
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> SRA 2. Supporting the Environment & the Economy WBO 1. Skills, Education & Employment WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient WBO 4. Cohesive & Sustainable Communities



Direction of Risk		
	DoR	Comment
Brexit	➔	Risk - Direction of Risk No change to the risk score at the end of Quarter 1.

City Centre Security & Safety

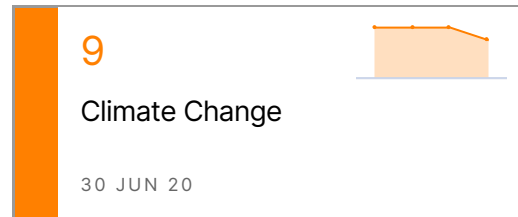
Short Description	Significant incidents of deliberate acts that pose hazards to people in surrounding areas; structural damage; business continuity; damage/disruption to infrastructure and utilities; and reputational and economic impact.
Risk Owner	Rhys Cornwall
Overseeing Officer	<ul style="list-style-type: none"> Strategic Director - Place
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	<ul style="list-style-type: none"> Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 2. Economic Growth & Regeneration SRA 2. Supporting the Environment & the Economy



Direction of Risk	
DoR	Comment
City Centre Security & Safety	<p>✓ Risk - Direction of Risk</p> <p>The risk score has decreased in Quarter 1 due to Covid-19 and the social distancing measures in place. This has meant the probability score has decreased to 2 for this quarter.</p>

Climate Change

Short Description	Scientific evidence indicates that the global climate is warming and is changing the environment that we live in Wales and in Newport. The cause of this change is through emissions produced by industry, vehicles, households and businesses. Newport has 11 Air Quality Management Areas which monitor air quality and since they were in place we have been in breach.
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Sustainable Development
Linked Theme	<ul style="list-style-type: none"> Theme : Modernised Council Theme: Resilient Communities (Community) Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient

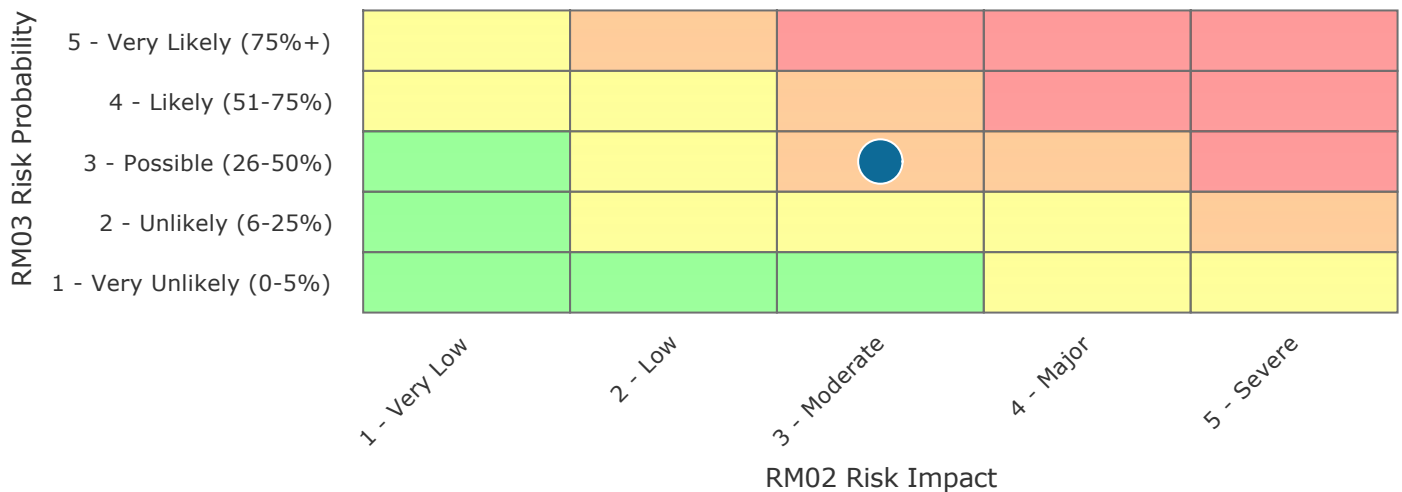


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Inherent Risk Score

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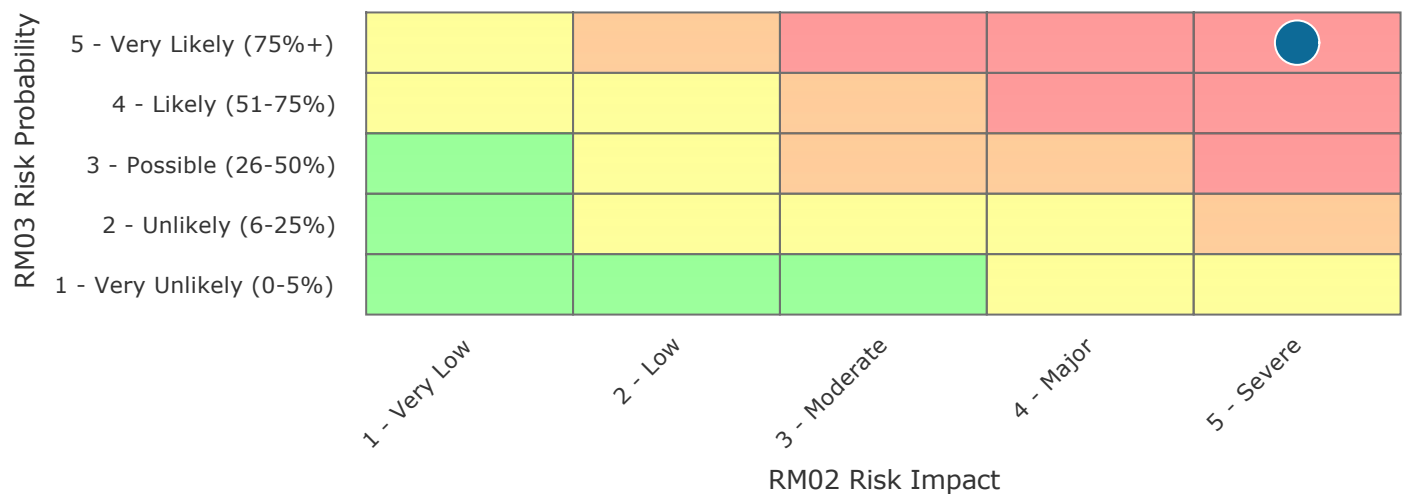
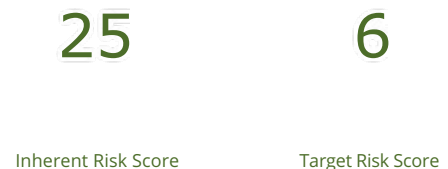
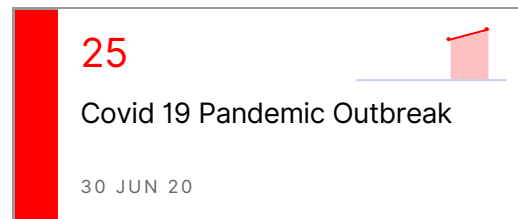
Target Risk Score



Direction of Risk		
	DoR	Comment
Climate Change		Risk - Direction of Risk Due to the Covid-19 lockdown restrictions, Council staff have been working remotely which has reduced the environmental impact due to reduction in commuting, use of fleet vehicles and use of the council buildings.

Covid 19 Pandemic Outbreak

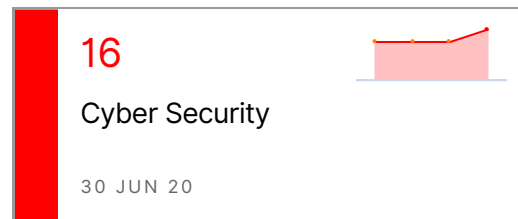
Short Description	The Corona Virus (COVID 19) pandemic has put at risk the operational ability of the Council to deliver its services, support vulnerable people across Newport and the economic impact to the local and wider economy. Potential mitigations carried out in line with the Chief Medical Officer's advice and Welsh/UK Government guidance.
Risk Owner	Rhys Cornwall
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient WBO 4. Cohesive & Sustainable Communities SRA 1. Supporting Education and Employment SRA 2. Supporting the Environment & the Economy SRA 3. Supporting Health & Well-being of Citizens SRA 4. Supporting Citizens post Covid-19



Direction of Risk		
	DoR	Comment
Covid 19 Pandemic Outbreak		<p>Risk - Direction of Risk</p> <p>The Covid-19 risk has increased from 20 to 25 in quarter 1 due to the increase in numbers of cases in Newport. Council services remain focused on supporting the community due to government requirements during this quarter. Easing of restrictions have to comply with guidelines from Welsh Government and Public Health Wales.</p>

Cyber Security

Short Description	Management and security of the Council's ICT systems to protect personal and sensitive data from theft and loss whilst also maintaining business continuity and integrity of our systems.
Risk Owner	Rhys Cornwall
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Community and Resources
Linked Theme	<ul style="list-style-type: none"> Theme : Modernised Council
Linked Corporate Objective	

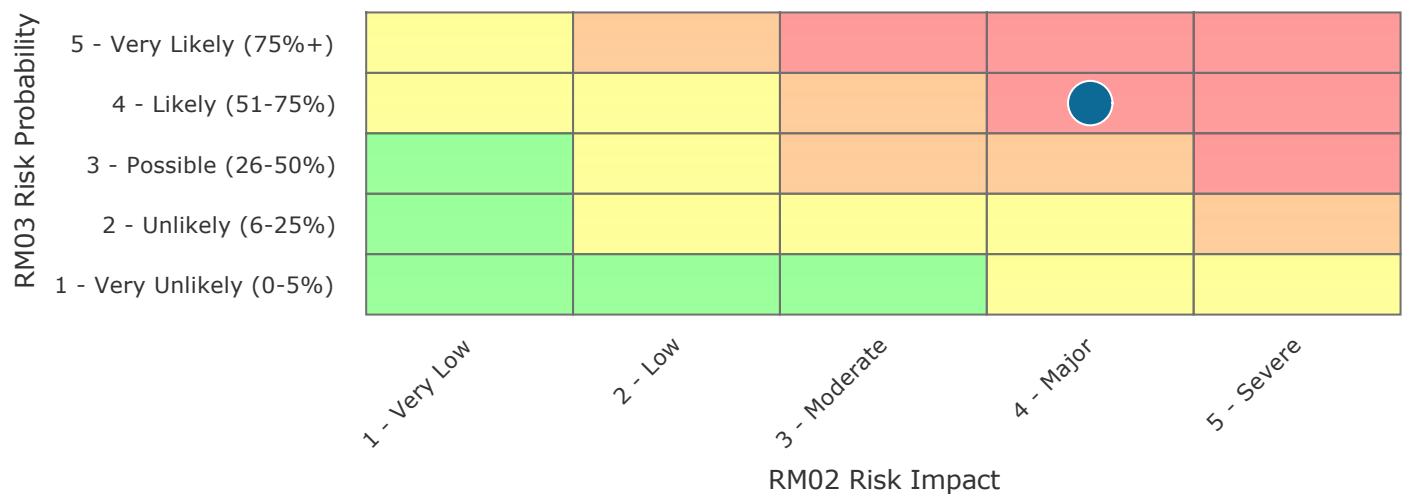


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Inherent Risk Score

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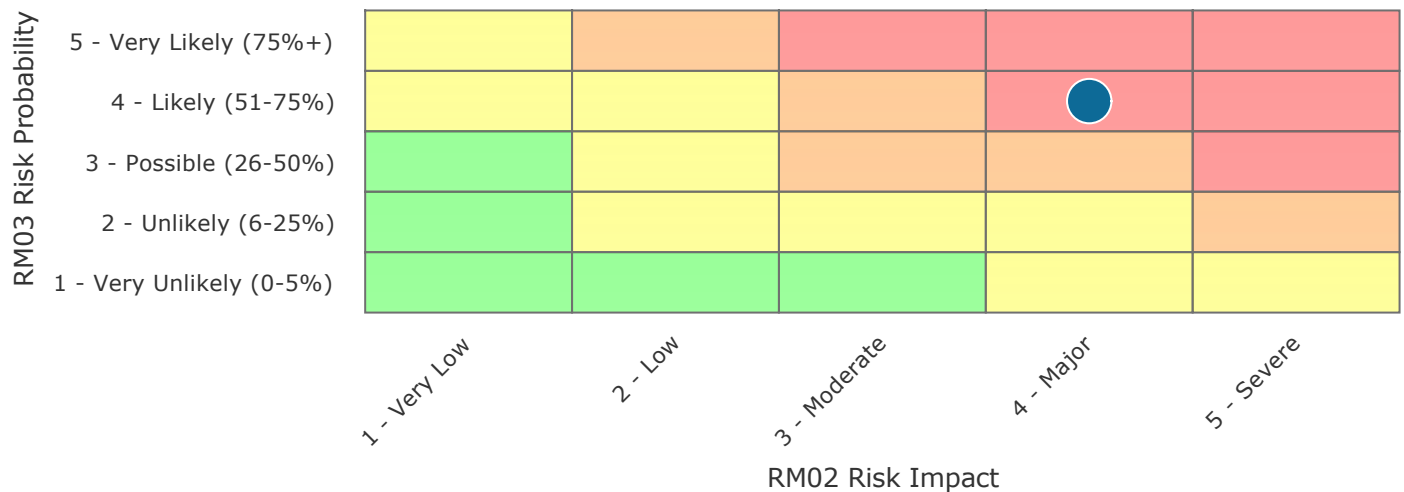
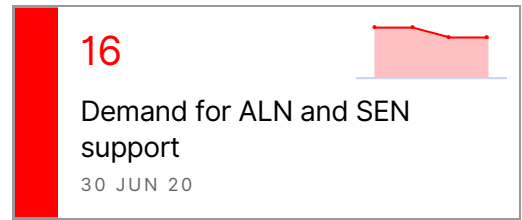
Target Risk Score



Direction of Risk	
DoR	Comment
Cyber Security	<p>Risk - Direction of Risk</p> <p>In Quarter 1 the National Cyber Security Centre updated their threat levels to all public services regarding an increase in cyber attacks as local authorities and public service bodies are an attractive target with the data held and links to other government departments. There is also an increased vulnerability of staff working from home and likelihood of Ransomware and phishing attacks against individual staff and corporate accounts. During the quarter there was a number of high profile reports in the media of state sponsored actors attempting to hack into organisational systems and increased concerns regarding state owned organisations having access to 5G infrastructure. In response the Council has increased both the inherent and residual risk scores to reflect this.</p>

Demand for ALN and SEN support

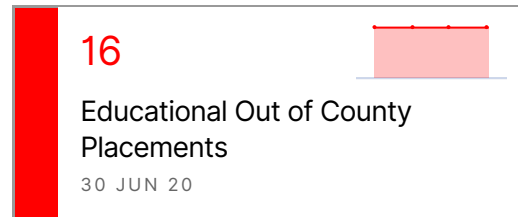
Short Description	Funding to cover Additional Learning Needs (ALN) and Special Education Needs (SEN) provision across the city is insufficient and does not meet the demand of increasing need.
Risk Owner	Katy Rees
Overseeing Officer	<ul style="list-style-type: none"> Chief Education Officer
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Education and Skills
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment WBO 3. Healthy, Independent & Resilient SRA 1. Supporting Education and Employment



Direction of Risk	
DoR	Comment
Demand for ALN and SEN support	<p>➔ Risk - Direction of Risk</p> <p>The SEN Department received a considerable increase in Formal Assessment requests during the lockdown period and at the end of the summer term. A number of these referrals have resulted in requests for Learning Resource Base provision and/or additional school based support. This increases the demand for ALN/SEN support and provision.</p>

Educational Out of County Placements

Short Description	Limited access to Newport City Council (NCC) provision for pupils who require complex and specialist placements which results on a reliance on Out of County (OOC) placements both day and residential.
Risk Owner	Katy Rees
Overseeing Officer	<ul style="list-style-type: none"> Chief Education Officer
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Education and Skills
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment SRA 1. Supporting Education and Employment

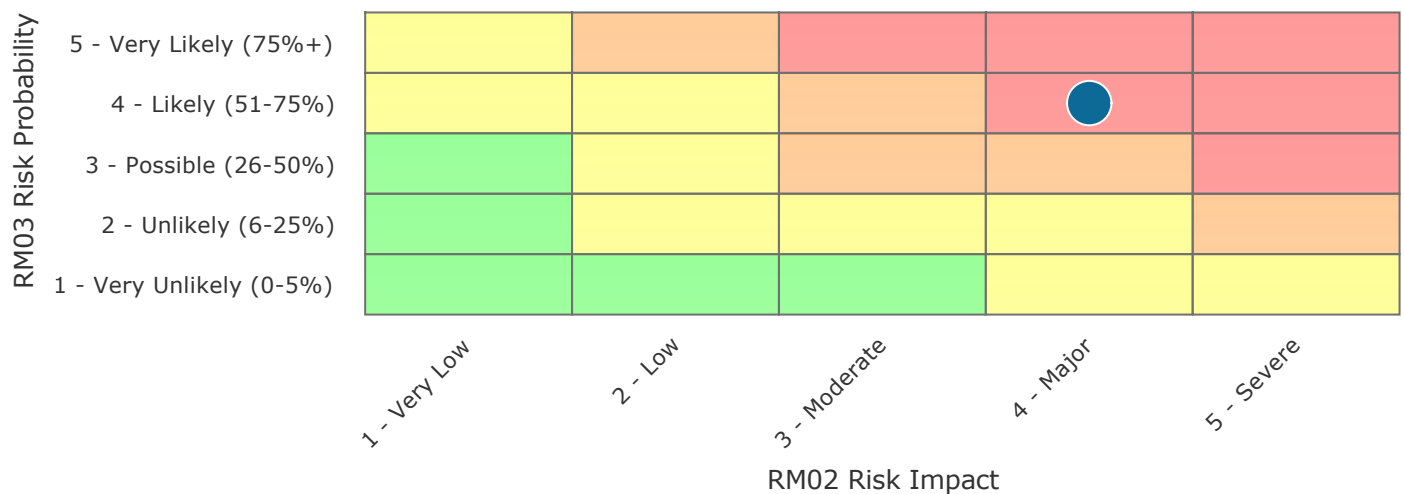


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Inherent Risk Score

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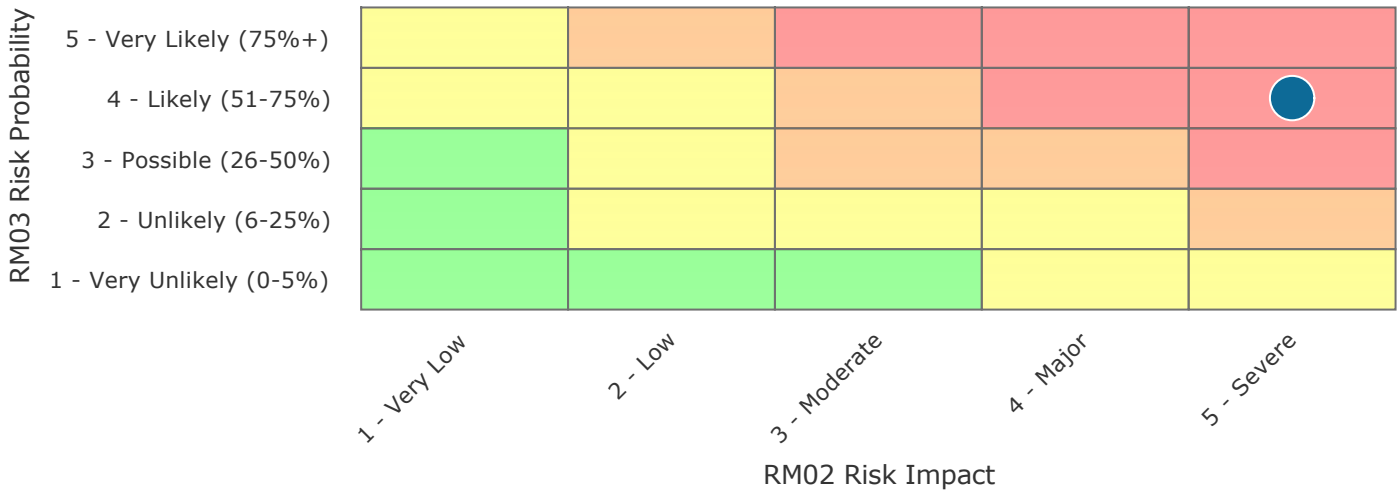
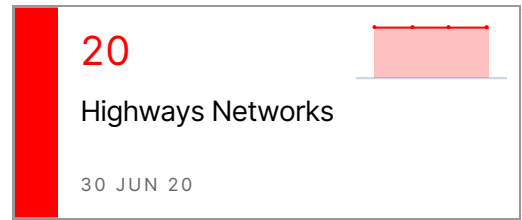
Target Risk Score



Direction of Risk	
DoR	Comment
Educational Out of County Placements	<p>➔ Risk - Direction of Risk</p> <p>Educational placements for September have been finalised, through this process all Special School placements have been filled with some provisions taking over numbers to ensure the pupils needs are met. If further pupils require specialist placements such as Autistic Spectrum Disorder (ASD) or Profound and Multiple Learning Difficulties (PMLD) then Out of County placements will need to be sought at a higher cost to the LA due to a limited number of placements across the Independent sector.</p>

Highways Networks

Short Description	Failure to recognise current levels of under investment in the whole life of the city's highway network assets in the medium to long term will continue to compound existing maintenance backlog figures.
Risk Owner	Paul Jones
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	<ul style="list-style-type: none"> Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient SRA 2. Supporting the Environment & the Economy SRA 3. Supporting Health & Well-being of Citizens



Direction of Risk	
DoR	Comment
Highways Networks	<p>➔ Risk - Direction of Risk</p> <p>There is no change to the risk score for Quarter 1.</p>

In Year Financial Management

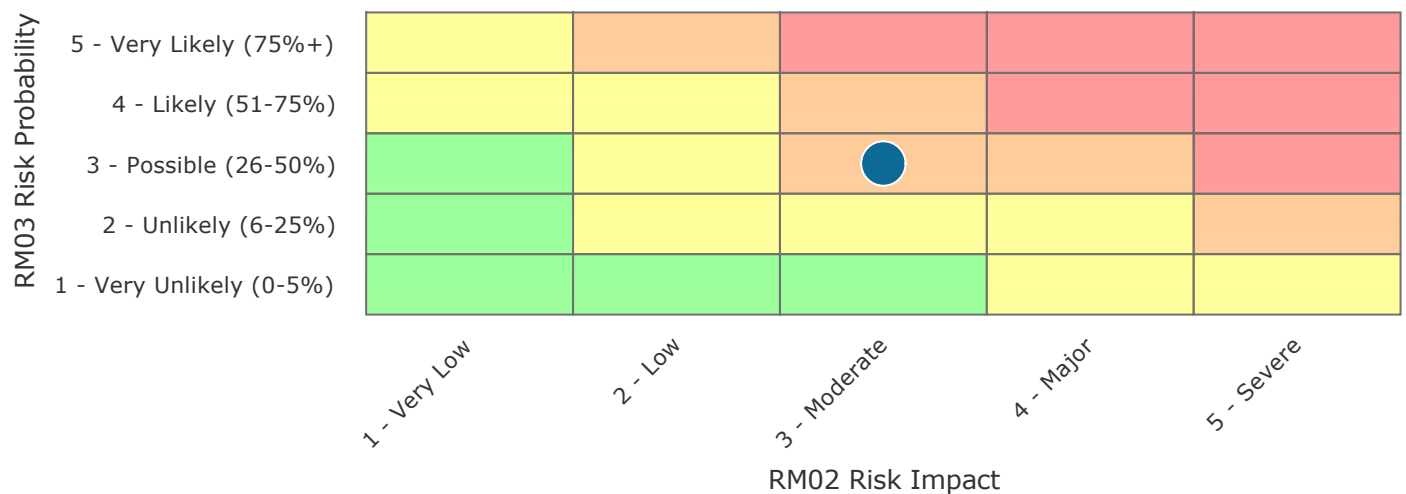
Short Description	This relates to the in year management of budgets and risk profiling of service areas / activities that are forecasting end of year overspends.
Risk Owner	Owen James
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of Finance
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient WBO 4. Cohesive & Sustainable Communities

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In Year Financial Management

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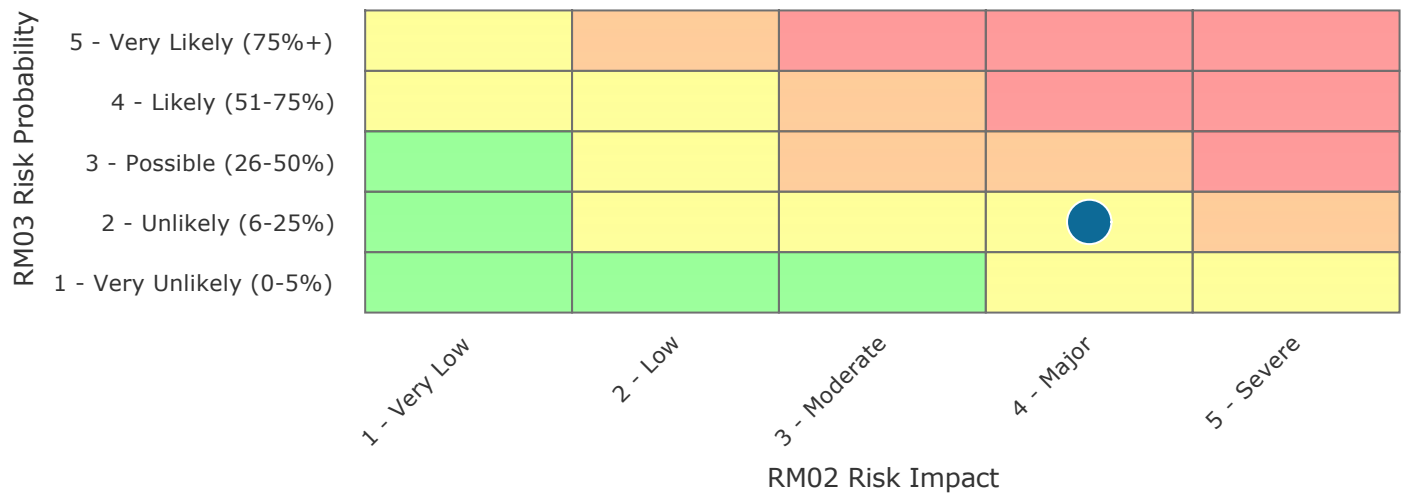
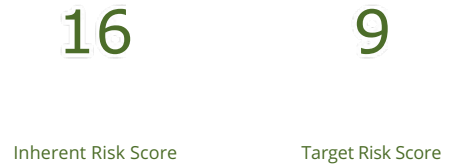
20 6
 Inherent Risk Score Target Risk Score



Direction of Risk	
DoR	Comment
In Year Financial Management	<div style="display: flex; align-items: flex-start;"> <div style="margin-right: 10px; color: red; font-size: 24pt;">✘</div> <div> <p>Risk - Direction of Risk</p> <p>While the July monitoring position shows a relatively small overspend, this is following the full use of the contingency and with a number assumptions made on the recovery of expenditure and loss of income as a result of the Covid pandemic. Also there is a risk that if the pandemic sees a significant second wave, there may be an adverse effect on the monitoring position without funding from WG.</p> </div> </div>

Newport Council's Property Estate

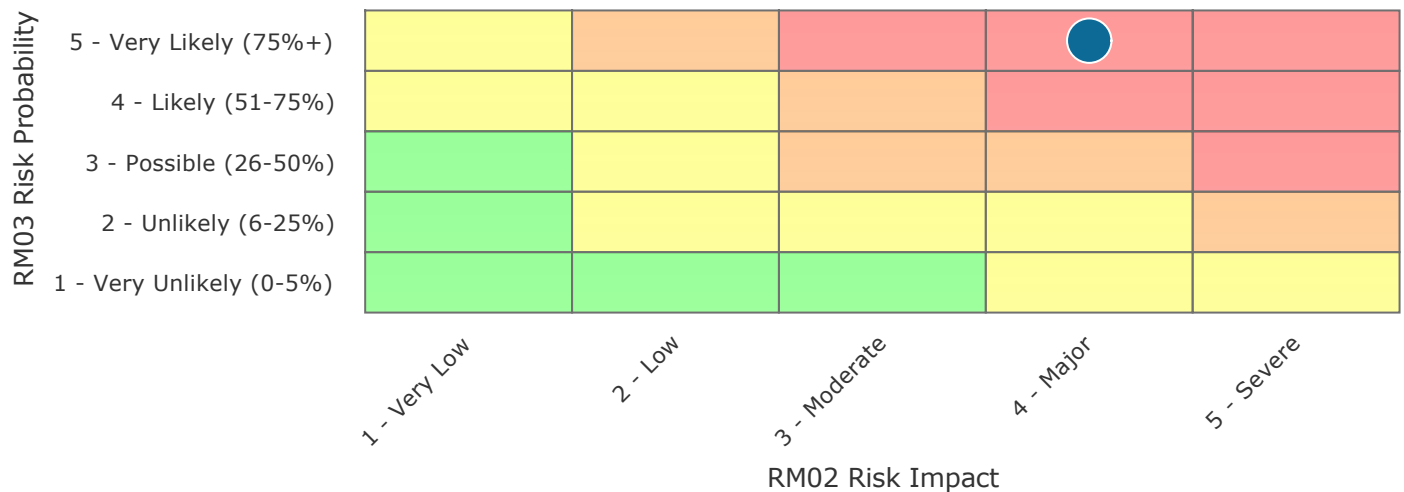
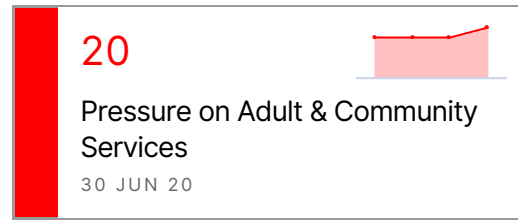
Short Description	NCC has a significant property estate covering over 170 buildings (circa) such as the Civic Centre, Telford Depot, schools etc. The Council has to ensure the estate is maintained to required standards to enable access, safety, security and in the long term sustainable for staff and residents to use.
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of People & Business Change Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Assets
Linked Theme	<ul style="list-style-type: none"> Theme : Modernised Council
Linked Corporate Objective	<ul style="list-style-type: none"> SRA 2. Supporting the Environment & the Economy SRA 3. Supporting Health & Well-being of Citizens WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient



Direction of Risk		
	DoR	Comment
Newport Council's Property Estate	✓	Risk - Direction of Risk Risk Score has reduced in Q1 due to the Council moving to remote working. The Council is now reviewing how it uses the Council buildings and facilities for the future.

Pressure on Adult & Community Services

Short Description	There is increased pressure on Adult Services to deliver services to adults with complex and long lasting needs. With an increase in demand / volume of referrals and care packages the Council has seen an increase in costs whilst in the context of tightening budgets. There are also additional statutory requirements to safeguard adults in our care and prevent risk of harm, injury or a loss of life.
Risk Owner	Jenny Jenkins
Overseeing Officer	<ul style="list-style-type: none"> Head of Adult and Community Services
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Social Services
Linked Theme	<ul style="list-style-type: none"> Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 3. Healthy, Independent & Resilient SRA 3. Supporting Health & Well-being of Citizens



Direction of Risk	
DoR	Comment
Pressure on Adult & Community Services	<p>Risk - Direction of Risk</p> <p>Covid 19 has placed significant amount of pressure on Adult Social Care services. There is pressure on the finances and long term sustainability of adult care and services having to redesign themselves to accommodate the new Covid-19 guidelines which impact on the perception and delivery of services. Adult services are working with providers to understand the impact of Covid-19 and new guidelines.</p>

Pressure on Homelessness Service

Short Description	Further pressures and increased presentations on homelessness service
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> Theme: Resilient Communities (Community)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 4. Cohesive & Sustainable Communities

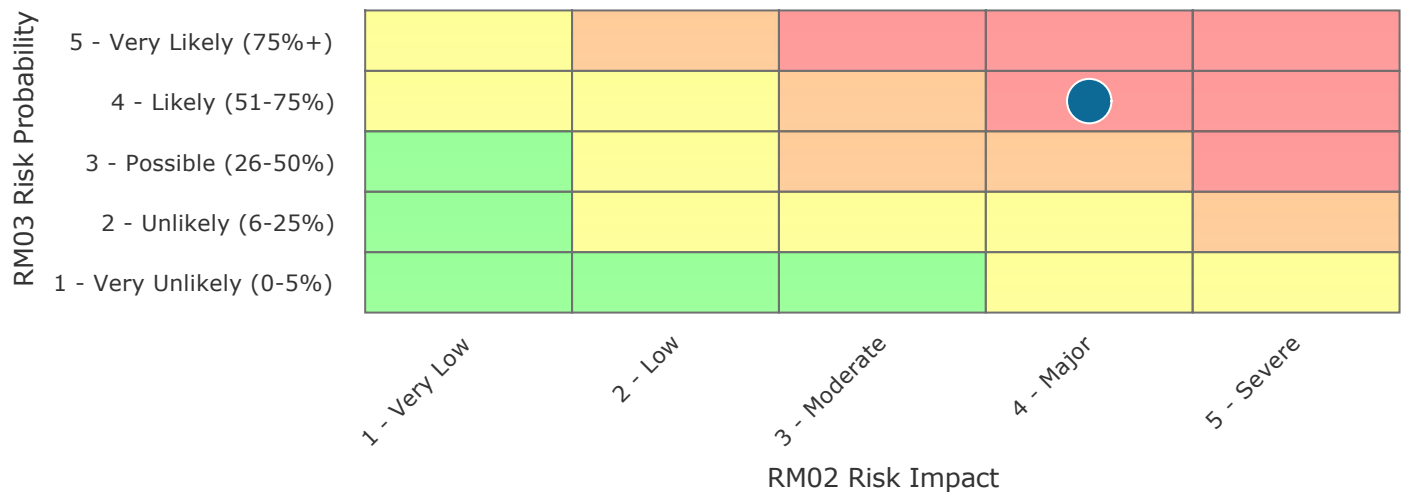


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Inherent Risk Score

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Target Risk Score

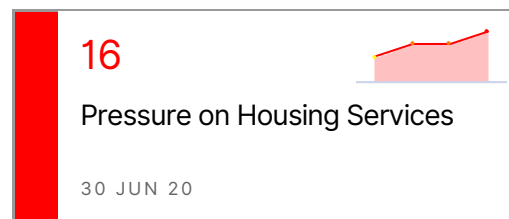


RM02 Risk Impact

Direction of Risk	
DoR	Comment
Pressure on Homelessness Service	<p>Risk - Direction of Risk</p> <p>Risk score has increased to 16 for this period. There was changes to legislation surrounding the statutory duty to support homelessness and rough sleeping. We had to provide substantial amount of temporary accommodation and support using phase 1 emergency hardship funding</p> <p>Further to phase 1, we have been awarded additional Phase 2 Homelessness funding from Welsh Government of approximately circa £2.5 million.</p>

Pressure on Housing Services

Short Description	Increased pressure on Housing services to provide residents with safe, affordable housing options.
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> Theme: Resilient Communities (Community)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 3. Healthy, Independent & Resilient WBO 4. Cohesive & Sustainable Communities

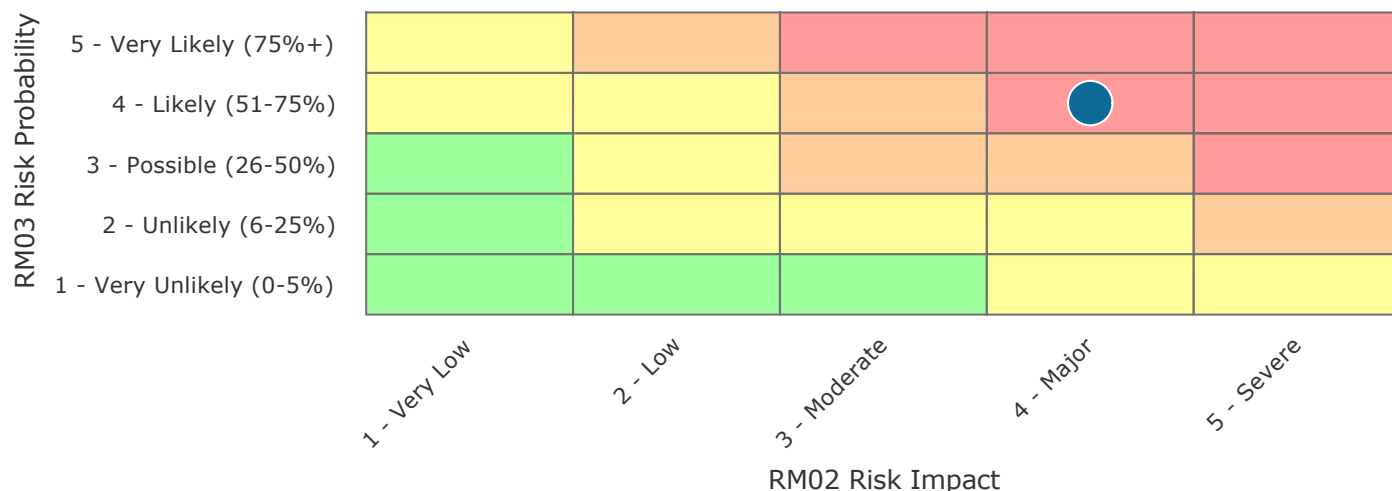


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Inherent Risk Score

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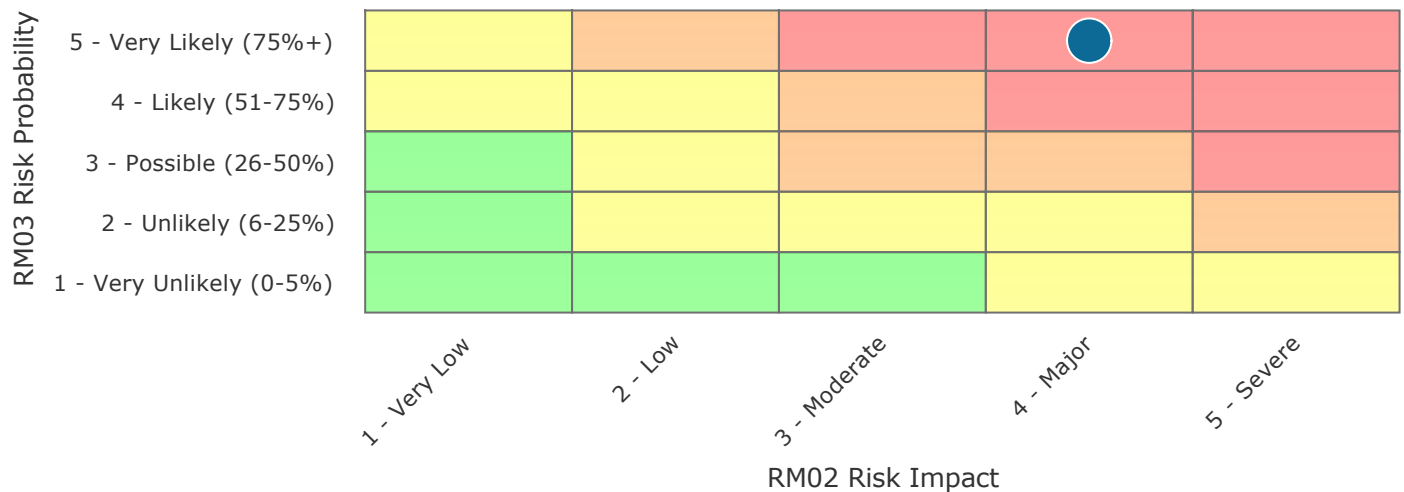
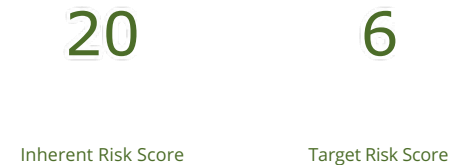
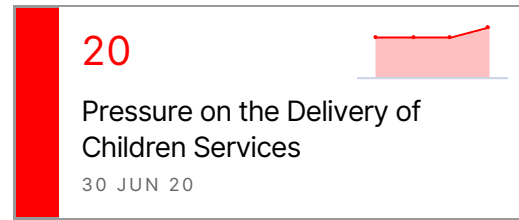
Target Risk Score



Direction of Risk	
DoR	Comment
Pressure on Housing Services	<p>Risk - Direction of Risk</p> <p>Risk score has increased to 16 for this period. Due to the implementation of the homelessness and rough sleeping legislation prioritisation has been to support the homeless, rough sleepers and the most vulnerable during this period. In the medium term the economic position remains vulnerable with the Furlough scheme ending in October and could be further exacerbated should there be further lockdowns (localised / national). The impact of this could be that there are more people requiring housing assistance and support which will place additional pressure on the service.</p>

Pressure on the Delivery of Children Services

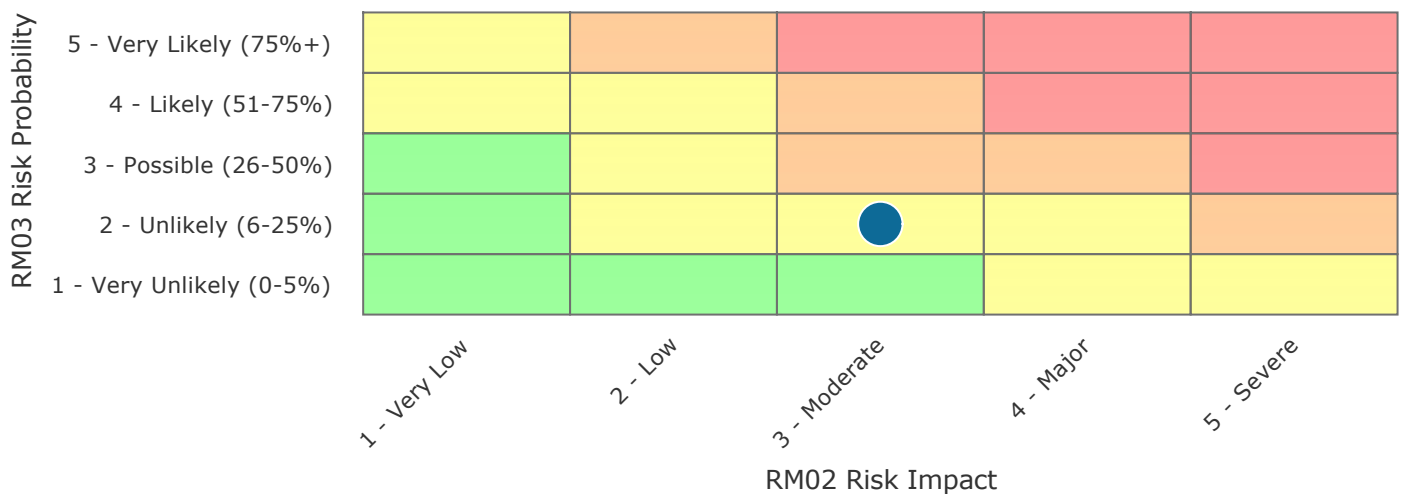
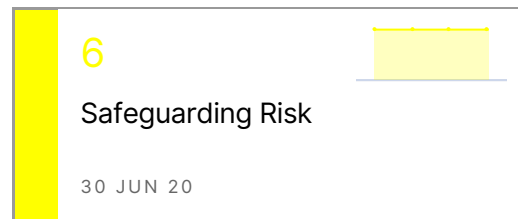
Short Description	Increased pressure on Children Services to manage increase in volume of referrals / cases of children with complex needs. This is in the context of budgets not being able to meet increase in costs to provide the necessary care and front-line staff being able to manage high volume and complex caseloads.
Risk Owner	Sally Jenkins
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Social Services
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 3. Healthy, Independent & Resilient SRA 3. Supporting Health & Well-being of Citizens



Direction of Risk	
DoR	Comment
Pressure on the Delivery of Children Services	<p>Risk - Direction of Risk</p> <p>The pressures deriving from Covid in terms of the quantum of work, the nature of the work alongside the impact on staff of the societal changes wrought by Covid are considerable. There is currently no real way to minimise this pressure. Attempts at mitigation are reliant on maintaining communication and minimising tasks but at core the current pressures are considerable and are likely to increase.</p>

Safeguarding Risk

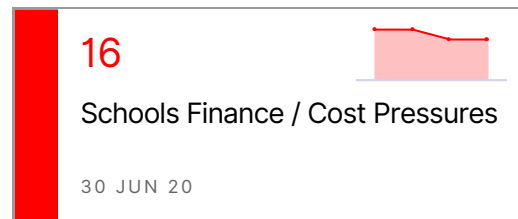
Short Description	To ensure the Council safeguards adults, children and carers as part of its statutory duty.
Risk Owner	Mary Ryan
Overseeing Officer	<ul style="list-style-type: none"> ■ Chief Education Officer ■ Chief Executive ■ Head of Adult and Community Services ■ Head of Children and Family Services ■ Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	<ul style="list-style-type: none"> ■ Cabinet Member for Social Services
Linked Theme	<ul style="list-style-type: none"> ■ Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 3. Healthy, Independent & Resilient ■ SRA 3. Supporting Health & Well-being of Citizens



Direction of Risk	
DoR	Comment
Safeguarding Risk	<p style="margin: 0;">➔ Risk - Direction of Risk</p> <p style="margin: 0;">No Change to the risk score in Quarter 1.</p>

Schools Finance / Cost Pressures

Short Description	In year cost pressures of schools are not met resulting in increased deficit budgets
Risk Owner	Sarah Morgan
Overseeing Officer	<ul style="list-style-type: none"> Chief Education Officer Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Education and Skills Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 1. Skills, Education & Employment

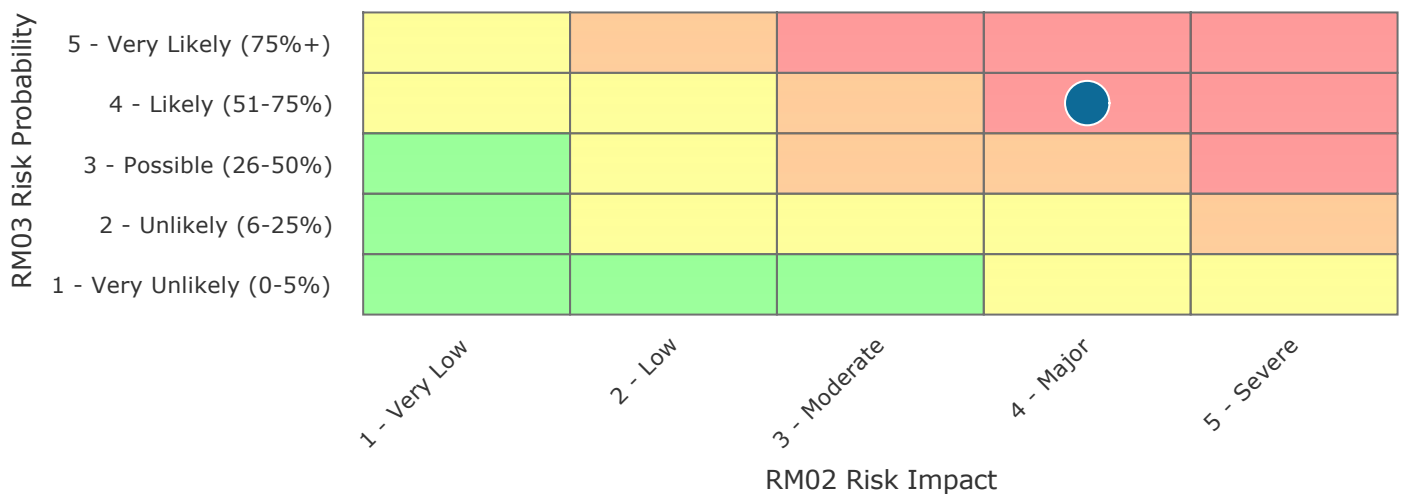


20

Inherent Risk Score

6

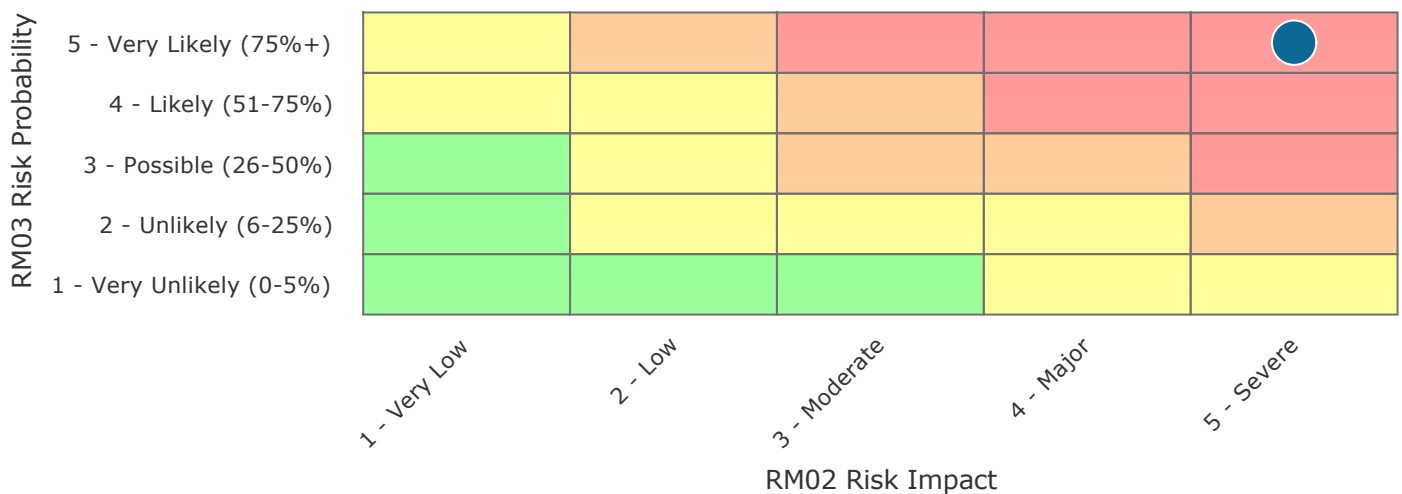
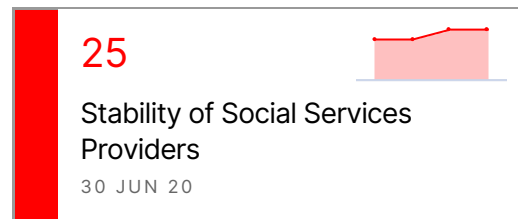
Target Risk Score



Direction of Risk	
DoR	Comment
Schools Finance / Cost Pressures →	<p>Risk - Direction of Risk</p> <p>Overall, schools are forecasting a collective overspend of £1.3 million in 2020/21. Most schools in a deficit position have presented savings but these may be delayed due to Covid-19. There are some unknowns linked to school expenditure in the forthcoming months and some school grants from Welsh Government have been withdrawn from schools to be diverted to Covid-19.</p>

Stability of Social Services Providers

Short Description	The Council requires support from external providers to deliver care packages for children and adults (residential / Non Residential). The current marketplace for external providers is volatile due to the increasing costs to provide care, maintain homes, staffing meeting legislative and regulatory requirements.
Risk Owner	Jenny Jenkins
Overseeing Officer	<ul style="list-style-type: none"> Head of Adult and Community Services
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Social Services
Linked Theme	<ul style="list-style-type: none"> Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 3. Healthy, Independent & Resilient SRA 3. Supporting Health & Well-being of Citizens



Direction of Risk		
	DoR	Comment
Stability of Social Services Providers	➔	Risk - Direction of Risk There is no change in the direction of risk for this quarter.